TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER 1592-0152PUS1
	U.S. APPLICATION No. (If known, See 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/JP2003/009173	INTERNATIONAL FILING DATE 18 July 2003	PRIORITY DATE CLAIMED 23 July 2002

TITLE OF INVENTION   STOCK TRADING SUPPORT APPARATUS AND STOCK TRADING SUPPORT SYSTEM

APPLICANT(S) FOR DO/EO/US   Hideo SAKATA and Yoshie EBE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [x] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.

2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.

3. [x] This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. [ ] The US has been elected (Article 31).

5. [x] A copy of the International Application as filed (35 U.S.C. 371 (c)(2))

   a. [ ] is attached hereto (required only if not communicated by the International Bureau).

   b. [x] has been communicated by the International Bureau. WO 2004/010359 A1

   c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).

6. [x] An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).

   a. [x] is attached hereto.

   b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).

7. [x] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))

   a. [ ] are attached hereto (required only if not communicated by the International Bureau).

   b. [ ] have been communicated by the International Bureau.

   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.

   d. [x] have not been made and will not be made.

8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

9. [x] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [x] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. [x] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. [x] A preliminary amendment.

14. [ ] An Application Data Sheet under 37 CFR 1.76.

15. [ ] A substitute specification.

16. [ ] A power of attorney and/or change of address letter.

17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. [x] Other items or information: PCT/IB/308; PCT/IB/304; Sixteen (16) sheets of Formal Drawings; PCT/ISA/210

PTO-1390 (Rev. 12-2004)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
10/521569	PCT/JP2003/009173	1592-0152PUS1

21. The following fees are submitted:

		Applicant Use	Office Use Only
[x] a) Basic national fee $300.00		$ 300.00	
[x] b) Examination fee $200.00		$ 200.00	
[x] c) Search fee $500.00		$ 500.00	
TOTAL OF ABOVE CALCULATIONS = $1000.00		$ 1,000.00	

[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is **$250** for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round **up** to a whole number	RATE		
70 - 100 =	/50 =		x $250.00		

Surcharge of **$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). $

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	19 - 20 =	0	x 50.00	$ 0.00	
Independent claims	2 - 3 =	0	x 200.00	$ 0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				$ 1,360.00	

[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. $

SUBTOTAL =	$ 1,360.00	

Processing fee of **$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). + $

TOTAL NATIONAL FEE =	$ 1,360.00	

Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property + $ 40.00

TOTAL FEES ENCLOSED =	$ 1,400.00	
Amount to be refunded:		$
Amount to be charged:		$

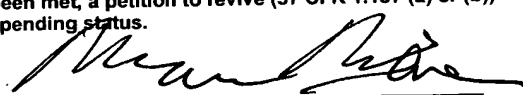a. [x] A check in the amount of $ ___1,400.00___ to cover the above fees is enclosed.

b. [ ] Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. ___02-2448___. A duplicate copy of this sheet is enclosed.

d. [ ] Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE:
Marc S. Weiner
NAME

CUSTOMER NUMBER: 02292
January 18, 2005
MSW/nl

32,181
REGISTRATION NUMBER

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